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(56) Documents Cited

WO 01/99019 A1 WO 01/92997 A2  
WO 01/50391 A1 WO 01/37171 A1  
I/S Analyser Case Studies, March 2000, "Shawnee  
Mission School District portal supports schools,  
parents", Vol 39, pages 14 to 16

(58) Field of Search

UK CL (Edition T ) G4A AAP  
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(54) Abstract Title

**Access control system for network of servers via port**

(57) A distributed database system, in which information is held on a plurality of servers in different physical locations, each having a connection to the internet, and an access portal, also connected to the internet, which is adapted to receive incoming enquiries intended for the servers, to authenticate each enquiry, to identify the appropriate server and to establish a connection with it, once the enquiry has been authenticated.

Communication can be routed through the portal so that there is no direct communication between the originator and the server.

In an embodiment, the database system can be used as an educational network comprising a plurality of servers at different educational establishments holding information relating to students.

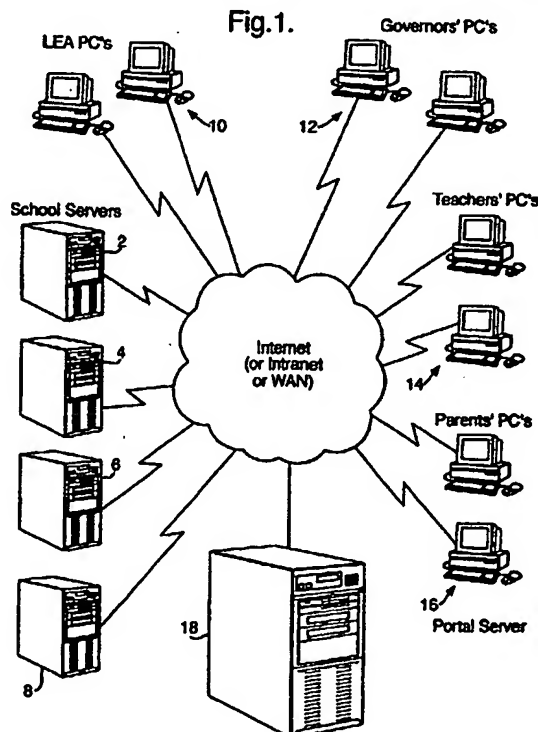
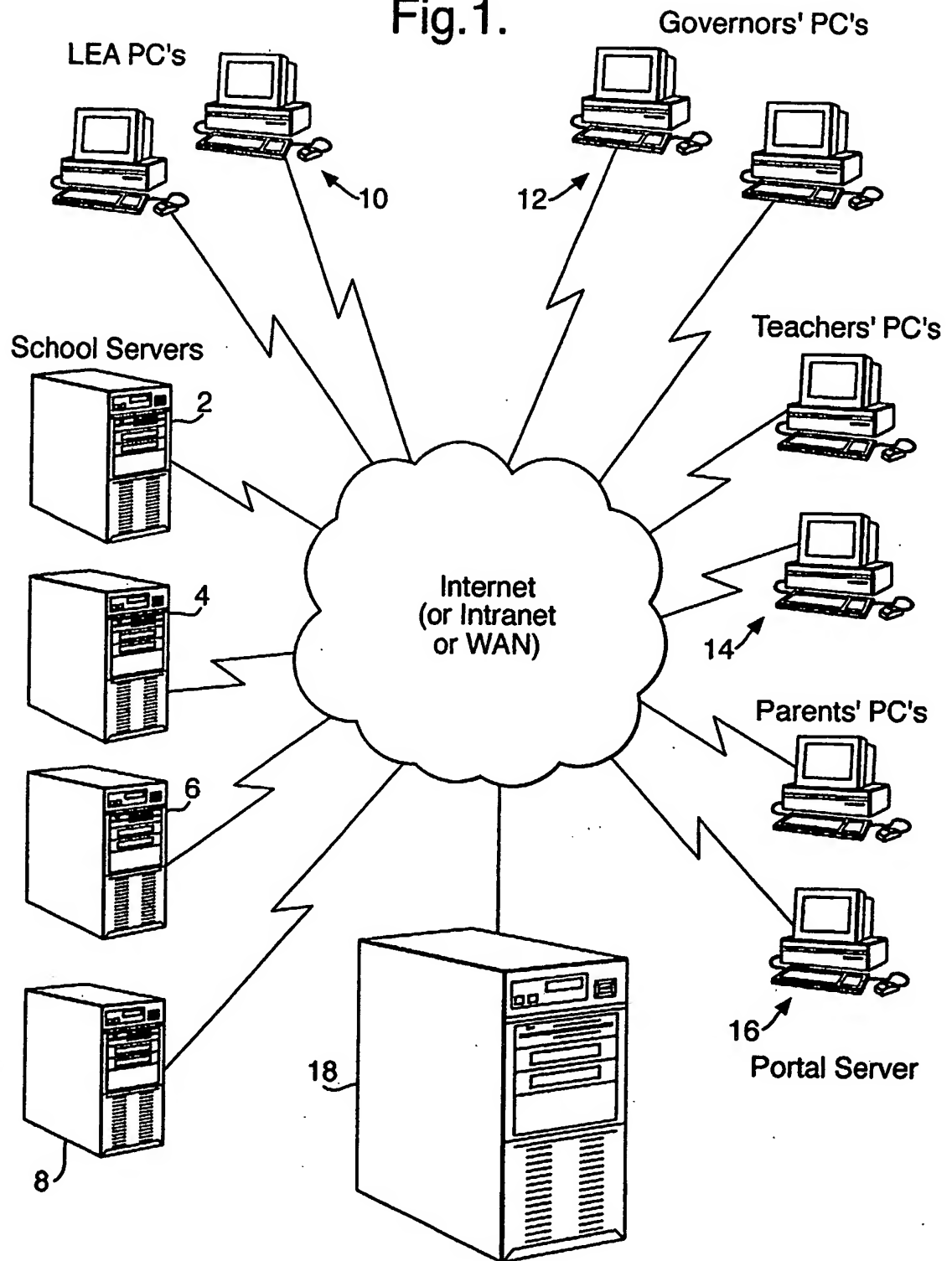


Fig.1.



"Access Control System for Network of Servers via Portal"

This invention relates to data network systems, and in particular, to networks in  
5 which a plurality of computers are connected together, by means of a publicly accessible  
network such as the "internet".

In certain types of such systems, it is necessary to hold information on widely  
distributed database servers, and for security reasons, it is also required to control  
access to each server, in order to ensure that unauthorised users do not gain access to  
10 confidential information.

Accordingly, the present invention provides a distributed database system, in  
which information is held on a plurality of servers in different physical locations, each  
having a connection to the internet, and an access portal, also connected to the internet,  
which is adapted to receive incoming enquiries intended for the servers, to authenticate  
15 each enquiry, to identify the appropriate server and to establish a connection with it,  
once the enquiry has been authenticated.

Preferably, when the enquiry has been successfully authenticated, a token is  
passed to the originator, and to the appropriate server, so that the server can confirm  
that the enquirer is properly authorised, and a direct connection is then established  
20 between the two.

Alternatively, when the enquiry has been authenticated, the access portal forwards  
the request to the server, and the response is routed back to the enquirer, via the access  
portal, so that there is no direct connection between the enquirer and the server.

The system of the invention is particularly useful in applications where the  
25 individual distributed servers are not particularly powerful, and do not have great  
bandwidths. The access portal is used to control the access to the servers, and the  
routing of the requests to them, while the other servers by default are set to respond only

to the portal server and to reject all other access requests from any other source. Hence it is only necessary for each server to run a relatively simple access control program, whilst the access portal handles the more complex tasks of authenticating the requests, and routing them to the desired destinations.

5        One example of an application of the system of the present invention, is an educational information network, in which information relating to individual schools or colleges and their students, is held on a server at each establishment, and it is required to provide controlled access to this information, over the internet, for educational authorities, teachers, governors, parents or students. It will be appreciated that in  
10       practice, different levels of access will be provided, appropriate to each user or category of users.

One embodiment of the invention will now be described, with reference to the accompanying which is a schematic diagram of a system according to the invention.

As shown in the drawings, individual school servers indicated at references 2 to 8  
15       store information such as student attendance and performance information, which is required to be accessed periodically, from various PCs or network terminals in different categories such as 10 (representing the LEA), 12 (representing governors), 14 (representing teachers) or 16 (representing parents).

Normally these will be remotely located using the internet but they may of course  
20       be connected by an "intranet" or WAN.

A centrally located "portal server" 18 is arranged to receive enquiries from the PCs or terminals 10, 16, whilst the individual servers 2 to 8 will only accept connections from the portal computer 18 itself. In other words, assuming that "internet protocol" is being used for communications, the servers are arranged to reject all IP addresses other  
25       than that of the portal.

When an enquiry is received by the portal 18, from one of the remote terminals 10, 12 etc, the user will be required to carry out a log-in procedure, and the portal computer

will then compare the identity of the user, and/or their network station, with an admissible list of users. The portal may also determine, from an internal database, which of the servers 2 to 8 needs to be accessed, and whether access is permitted for that particular enquiring terminal.

- 5        If the enquiry is admissible, the portal computer transmits a corresponding message to the required server, which enable it to receive and answer the enquiry. For example, the portal computer may pass a token to the server, and to the enquirer, which enables the server to confirm that it should accept the enquiry by comparing the tokens.

- At this point, the server will be enabled to accept a direct communication from the  
10    IP address of the enquiring terminal, rather than only via the portal computer, so that the portal computer does not have to handle the entire bandwidth of a large number of enquiries.

- In an educational network, authorised users such as LEA's (local educational authorities) can in this way obtain statistical reports on student attendance, grades,  
15    behaviour, homework, school performance, etc over the internet using a web browser. When data is required to cover a number of schools, the portal computer may carry a list of a number of appropriate school servers, which any given enquirer is allowed to access. For any particular school, reports may be provided covering various aspects of the performance of the school and the students, such as weekly percentage attendance  
20    report for registration groups, attendance reports of a single student over a specified range of weeks, grade average reports etc. Such reports will of course, be made available to both the local educational authority enquirer, and of course, to the school itself. Information relative to the performance of a number of schools in the area, on the other hand, will normally be made available only to the appropriate LEA.

- 25        Similarly, reports relating to a particular student will also be made available to parents, so that they will be allowed access to a rather restricted sub-set of the individual school reports.

On each of the servers, a number of software elements will be required:

- (1) a database, preferably using SQL (structured query language);
- (2) an internet connection which is permanently connected with a static IP

configuration;

- 5       (3) a "web server" capable of making SSL (secure sockets layer) connections; and
- (4) ODBC drivers to provide access to the SQL database.

This will enable the server to return the required data in a form appropriate to the enquiry, e.g. as html.

- It will be appreciated that the present invention can confer a number of cost and performance advantages, because there is only one portal server in the whole system whereas there are thousands of school servers. With the preferred arrangement:

(1) The "portal server" can be installed and developed with as high security software as possible as only one is required to benefit all users.

- (2) Although the potential bandwidth requirement to service thousands of schools and millions of parents would be enormous, this requirement can be alleviated by using the portal server only in the initial log-in procedures and for authenticating the requests.

- (3) Similarly the amount of information stored centrally on the portal server could be very large, if it were required to hold information normally held by thousands of school servers. This also involves additional complications of keeping copies of school server data up to date on the central portal server.

## CLAIMS

1. A distributed database system, in which information is held on a plurality of servers in different physical locations, each having a connection to the internet, and  
5 an access portal, also connected to the internet, which is adapted to receive incoming enquiries intended for the servers, to authenticate each enquiry, to identify the appropriate server and to establish a connection with it, once the enquiry has been authenticated.
2. A distributed database system according to claim 1 in which a token is  
10 passed to the originator and to the appropriate server, after authentication, so that the server can confirm that the enquiry has been authorised, and a direct connection is then established between the originator and the server.
3. A distributed database system according to claim 1 in which the access  
portal forwards the request to the appropriate server, after authentication, and the  
15 response is routed back to the originator via the access portal so that there is no direct connection between the originator and the server.
4. An educational information network comprising a plurality of servers at different educational establishments holding information relating to students and arranged to form a distributed database system in accordance with any of claims 1 to 3.
- 20 5. A method of controlling access to a distributed database system in which information is held on a plurality of servers in different physical locations, each having a connection to the internet, the method comprising (a) arranging an access portal to receive all incoming enquiries to the servers of the database; (b) authenticating each enquiry at the access portal; and (c) identifying an appropriate server to deal with the  
25 enquiry and establishing a connection with it, after successful authentication of the enquiry.

6. A method according to claim 5 in which, after successful authentication, a token is passed to the originator and to the appropriate server so that a direct connection can be established between them.

7. A method according to claim 5 in which, after successful authentication,  
5 the request is forwarded to the appropriate server by the access portal and the response is also routed back to the originator via the access portal so that there is no direct connection between the enquirer and the server.

8. A distributed database system substantially as herein described with reference to the accompanying drawings.

10 9. A method of controlling access to a distributed database system according to claim 5 and substantially as herein described.





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Examiner: Michael Powell  
Waters

Claims searched: 1 to 9

Date of search: 14 February 2002

## Patents Act 1977

### Search Report under Section 17

#### Databases searched:

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.T): G4A (AAP)

Int Cl (Ed.7): G06F (1/00, 12/14)

Other: Online: WPI, PAJ, EPODOC, INSPEC, IBM TDB, Internet

#### Documents considered to be relevant:

Category	Identity of document and relevant passage	Relevant to claims
X,E	WO 01/99019 A1 (CHASE MANHATTAN) for example see page 18 paragraph 55	1 to 9
X,E	WO 01/92997 A2 (SCIENCE APPLICATIONS) see abstract and figure 33	1 to 9
X,E	WO 01/50391 A1 (ECATALYSTONE.COM) see abstract figure	1 to 9
X,E	WO 01/37171 A1 (EUGENE) see figure 1	1 to 9
X	I/S Analyser Case Studies, March 2000, "Shawnee Mission School District portal supports schools, parents", Vol 39, pages 14 to 16	1 to 9

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art.
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	B	Patent document published on or after, but with priority date earlier than, the filing date of this application.